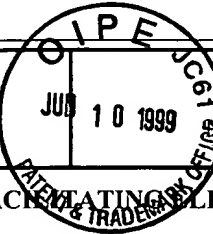
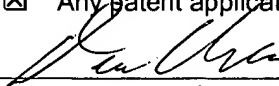
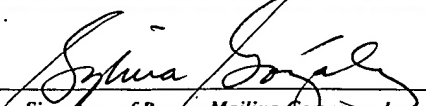


<b>AMENDMENT TRANSMITTAL LETTER (Small Entity)</b>				Docket No. <b>WD2-98-109</b>	
Applicant(s): <b>Walker et al.</b>					
Serial No. <b>09/219,267</b>	Filing Date <b>December 23, 1998</b>		Examiner <b>Not Assigned</b>	Group Art Unit <b>2761</b>	
Invention: <b>METHOD AND APPARATUS FOR FACILITATING ELECTRONIC COMMERCE THROUGH PROVIDING CROSS-BENEFITS DURING A TRANSACTION</b>					
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>					
Transmitted herewith is an amendment in the above-identified application. <input checked="" type="checkbox"/> Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted. <input type="checkbox"/> A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed. The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	64 -	64 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	8 -	8 =	0 x	\$39.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed. <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <b>50-0271</b> A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
 _____ Signature			Dated: <b>June 10, 1999</b>		
<b>Dean Alderucci</b> Attorney for Applicant PTO Reg. No. 40,484 Intellectual Property Department Walker Digital Corporation One High Ridge Park Stamford, Connecticut 06905-1326 Phone: (203) 705-3006 Fax: (203) 595-8266			<div style="border: 1px solid black; padding: 5px;"> I certify that this document and fee is being deposited  <b>June 10, 1999</b> with the U.S. Postal Service as  first class mail under 37 C.F.R. 1.8 and is addressed to the  Assistant Commissioner for Patents, Washington, D.C.  20231.    Signature of Person Mailing Correspondence    <b>Sylvia Gonzalez</b>  Typed or Printed Name of Person Mailing Correspondence </div>		
CC:					



Sechm

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al. )

For: METHOD AND APPARATUS FOR )  
FACILITATING ELECTRONIC )  
COMMERCE THROUGH PROVIDING )  
CROSS-BENEFITS DURING A )  
TRANSACTION )

Serial No.: 09/219,267 )

Filing Date: December 23, 1998 )

Examiner: Not Yet Assigned

Group Art Unit: 2761

Docket No.: WD2-98-109

Box Missing Parts

Assistant Commissioner for Patents, Washington, D.C. 20231

## TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Copy of Notice to file Missing Parts;
2. Response to Notice to File Missing Parts;
3. Copy of Combined Declaration and Power of Attorney;
4. Verified Statement Claiming Small Entity; and
5. Postcard.

The Commissioner is hereby authorized to charge \$1036.00 to Deposit Account No. 50-0271 for the fees required for the enclosed Response to Notice of Missing Parts. The Commissioner is further authorized to charge any further required fees, or to credit any overpayment, to Deposit Account No. 50-0271. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

Dean Alderucci

Attorney for Applicants

PTO Reg. No. 40,484

Walker Digital Corporation

One High Ridge Park

Stamford, CT 06905

June 10, 1999

Date

## Certificate of Mailing

I hereby certify that this correspondence is being sent via Express Mail No. EL080832773US in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 Attn: Box Missing Parts on June 10, 1999.

Sylvia Gonzalez

Typed Name of Person Making  
Deposit

Signature

06/10/99

Date

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
WD2-98-109

In Re Application Of: Jay S. WALKER et al.

JUN 10 1999

Serial No.  
09/219,267

Filing Date  
December 23, 1998

Examiner  
Not Assigned

Group Art Unit  
2761

Invention: **METHOD AND APPARATUS FOR FACILITATING ELECTRONIC COMMERCE THROUGH  
PROVIDING CROSS-BENEFITS DURING A TRANSACTION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
April 28, 1999  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
  - ☒ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
WD2-98-109

In Re Application Of: Jay S. WALKER et al.

Serial No.  
09/219,267

Filing Date  
December 23, 1998

Examiner  
Not Assigned

Group Art Unit  
2761

Invention: **METHOD AND APPARATUS FOR FACILITATING ELECTRONIC COMMERCE THROUGH  
PROVIDING CROSS-BENEFITS DURING A TRANSACTION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$380.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 8 \$195.00

☒ Total number of claims = 64 \$396.00

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the invention \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$1,036.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$1,036.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
**WD2-98-109**

In Re Application Of: **Jay S. WALKER et al.**

Serial No.  
**09/219,267**

Filing Date  
**December 23, 1998**

Examiner  
**Not Assigned**

Group Art Unit  
**2761**

Invention: **METHOD AND APPARATUS FOR FACILITATING ELECTRONIC COMMERCE THROUGH  
PROVIDING CROSS-BENEFITS DURING A TRANSACTION**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

The fee of **\$1,036** is to be paid as follows:

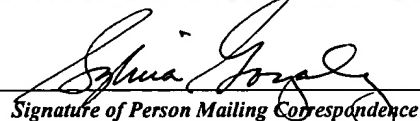
- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0271**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0271**  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: **June 10, 1999**

**Dean Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
Walker Digital Corporation  
One High Ridge Park  
Stamford, CT 06905  
Telephone: (203) 705-3006  
Facsimile: (203) 595-8266**

I certify that this document and fee is being deposited  
June 10, 1999 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

**Sylvia Gonzalez**

Typed or Printed Name of Person Mailing Correspondence

CC:



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/219,267	12/23/98	WALKER	J WD-98109

0212/0428

DEAN ALDERUCCI  
WALKER DIGITAL CORPORATION  
FIVE HIGH RIDGE PARK  
STAMFORD CT 06905

NOT ASSIGNED

-2761

DATE MAILED:

04/28/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1, or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☐ non-small entity is \$ 2072.00

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 792.00 for 44 total claims over 20.

\$ 390.00 for 5 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

06/17/1999 SDUONG 00000031 500271 09219267

01 FC:201 380.00 CH  
02 FC:205 65.00 CH  
03 FC:203 396.00 CH